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APPLICATION NO.	FILING	DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/699,292 10/30/2003		2003	Ki Ho Han	2013P119	5402
8791	7590	06/14/2005		EXAMINER	
	<del>-</del>	TAYLOR & Z	RAHLL, JERRY T		
12400 WILSHIRE BOULEVARD SEVENTH FLOOR LOS ANGELES, CA 90025-1030				ART UNIT	PAPER NUMBER
				2874	-
				DATE MAILED: 06/14/200	5

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	10/699,292	HAN ET AL.				
Office Action Summary	Examiner	Art Unit				
	Jerry T. Rahll	2874				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the	e correspondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be within the statutory minimum of thirty (30) will apply and will expire SIX (6) MONTHS fr cause the application to become ABANDO	timely filed days will be considered timely. om the mailing date of this communication. NED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 30 O	A					
· ·	☐ This action is <b>FINAL</b> . 2b) ☐ This action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4) ⊠ Claim(s) <u>1-18</u> is/are pending in the application. 4a) Of the above claim(s) is/are withdraw 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) <u>1 and 14</u> is/are rejected. 7) ⊠ Claim(s) <u>2-13 and 15-18</u> is/are objected to. 8) □ Claim(s) are subject to restriction and/or	vn from consideration.					
Application Papers		•				
9) ☐ The specification is objected to by the Examine 10) ☑ The drawing(s) filed on 30 October 2003 is/are: Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) ☐ The oath or declaration is objected to by the Ex	a)⊠ accepted or b)⊡ object drawing(s) be held in abeyance. S ion is required if the drawing(s) is	See 37 CFR 1.85(a). objected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the priority application from the International Bureau * See the attached detailed Office action for a list	s have been received. s have been received in Applic ity documents have been rece u (PCT Rule 17.2(a)).	ation No ived in this National Stage				
Attachment(s)						
1) Notice of References Cited (PTO-892)	4) Interview Summ					
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)</li> <li>Paper No(s)/Mail Date 10/30/03, 5/23/05.</li> </ul>	Paper No(s)/Mail 5) Notice of Informa 6) Other:	Date al Patent Application (PTO-152)				

#### **DETAILED ACTION**

### **Priority**

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

## Information Disclosure Statement

2. The information disclosure statements (IDS) submitted on 30 October 2003 and 23 may 2005 are in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statements are being considered by the examiner.

### **Drawings**

3. The drawings submitted have been reviewed and determined to facilitate understanding of the invention. The drawings are accepted as submitted.

#### Claim Objections

4. Claims 8-13 are objected to because of the following informalities: In Claim 8, the term "PSP monitoring unit" does not have an immediately clear meaning. Language more clearly describing the unit, similar to the identification of the "polarization controller (PC)" described in Claim 1, would be preferable. Appropriate correction is required.

## Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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- 6. Claims 1 and 14 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent No. 6,271,952 to Epworth.
- 7. Epworth describes a polarization mode dispersion compensator (Figures 2, 6, 12) having a separator (8) that aligns orthogonal first and second polarization components (see Col 4 Lns 18-65) of a received optical signal (6) with respect to two orthogonal axes of a polarization beam splitter (8) using optical signal information output via a second path (11) of a first path (12) and the second path of the two outputs of the polarization beam splitter, splits the first and second polarization components, and transmits the first polarization component via the first path and the second polarization component via the second path; and a differential time delay remover (19) that receives the first and second polarization components that has been split to remove a differential time delay between the first and second polarization components (see Cols 5, 8 and 10). The method of applicant's Claim 14 is embodied in the above discussed dispersion compensator device.

## Allowable Subject Matter

- 8. Claims 2-13 and 15-18 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- 9. Claims 2-7 and 15 describe a band-pass filter that filters a particular frequency component of an electrical spectrum, of an electrical signal from an optical tap. Claims 8-13 and 17 describe a PSP monitoring unit that receives the optical signal via the second path, reflects a portion of the optical signal and transmits the remaining portion of the optical signal to calculate a power of the transmitted portion of the optical signal. Claim 16 describes diverging a portion

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of the coupled optical signal output from the second polarization beam splitter and transmitting the remaining portion of the optical signal. Claim 18 describes coupling the reflected optical signal of the first polarization component to the optical signal of the second polarization component reflected via the second path, circulating the coupled optical signal and diverging the coupled optical signal. This is subject matter not described or reasonably suggested by the prior art of record.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jerry T. Rahll whose telephone number is (571) 272-2356. The examiner can normally be reached on M-Th (8:30-5:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Rodney Bovernick can be reached on (571) 272-2344. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Juny Thill Jerry Rahll

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